Impact of agent's answers variability on its believability and human-likeness and consequent chatbot improvements

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Outline

- Context: assisting novice users with ECA
 - The increasing need for assistance
 - Assisting novice users with ECA
 - Help systems comparison
 - Dialogue system or chatbots?
 - Key issues
- Methodology
- Results
- Conclusion

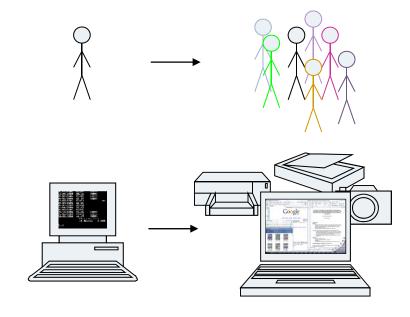
The increasing need for assistance

Users evolution:

- In number:
 600 millions (2002) →
 2 billions (2015 projection)
- In variety:
 from computer scientists to everyone
- Hardware evolution (Moore's law):
 - Application fields
 - Interaction fields

Software evolution:

- More numerous
- More complex: in public applications
 150 « basic » actions (in menus);
 60 dialogue boxes;
 80 tools (through icons).
 (Beaudoin-Lafon, 1997)



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| Documental-Microsoft World | Deleter | Letter | Orlino | Deleter | Letter | Orlino | Orlino | Deleter | Orlino | Orlino | Deleter | Orlino | Deleter | Orlino | Deleter | Orlino | Orlino | Deleter | Or
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Assisting novice users with ECA

 Assisting: « An Assisting Agent is a software tool with the capacity to resolve help requests, issuing from novice users, about the static structure and the dynamic functioning of software components or services » (Maes, 1994)

 Conversational: interaction in unconstrained natural language (NL) Why?

Frustrated (novice) users spontaneously express use NL (⇔« thinking aloud effect » (Ummelen & Neutelings, 2000))

• Embodied: given a graphical more or less realistic appearance Why?

Increased agreeability and believability – « Persona Effect » (Lester, 1997)

Help systems comparison

Help system	Reactivity	Vocabulary	Task-oriented	Dynamic	Personalized	Proactive
Paper documentation	-	-	-	-	-	-
Electronic documentation	+	-	-	-	-	-
FAQ, How-to, Tutorial	+	=	+	-	-	-
Contextual Help Systems	+	=	=	+	-	-
Assisting Conversational Agent	+	+	+	+	+	=

- Reactivity: how fast is it for the user to open the help system when it needs it?
- **Vocabulary**: are there strong constraints or limitations on the words the user has to know to efficiently use the help system? (ex: specific keywords/grammar constructions for NL)
- Task-oriented: does the help system explain procedures and not only define concepts?
- Dynamic: does the help system change according to the application state?
- Personalized: does the help system change according to the user?
- Proactive: does the help system appear only when asked for or can it anticipate the user needs (without being intrusive)?

Conclusion: Assisting conversational agents *potentially* seem to be the most efficient way to help novice users.

Dialog system or chatbot? Actual Performance 100% **TRAINS** Control, command, assistance... 50% **Chatbots** ALICE, Ellaz H/M Dialog Elbot, Ultra-Hal **Systems** Games, socialization, affects, ... 10% Effort = Code and resources 100 1 10 1000

Chatbots are limited in terms of **genericity** (need to rebuild everytime) (Allen, 1995) and **linguistically** (Wollermann, 2006) – but how far can we push the approach?

Dialog system or chatbot?

Advantages: easy, light, precise

- They are easy to develop: no large semantic analyzer, no complex reasoning tools;
- They are **light to deploy** in a web-based environment → client architectures can be envisioned;
- They provide robust natural language reactions (Evasive list effect ELIZA effect);
- They are tailored and well-suited for the field of ludo-social chat;
- When associated with a given application, they can be customized to be extremely precise.

Drawbacks: lack of genericity, linguistical limits

- Minimalistic/ultra-customized model of the application;
- Minimalistic model of the dialogue session and of the users;
- No semantic analyzer → lack of precision in the requests (grammar, speech acts, ...);
- No formal requests → class reactions are directly linked to specific linguistics patterns;
- No generic reasoning tools, especially when the function of assistance is concerned.

Key issues

Hypothesis: variability improves user's perception of the ECA

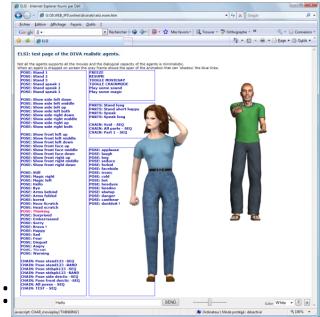
- Technical feasability: is it possible to handle variability with a chatbot architecture?
- 2. Need: do people **notice** variability?
- 3. Effect: does it **affect** the perception users have of the agent? And if yes, how?
- 4. Can it be useful for assistance?

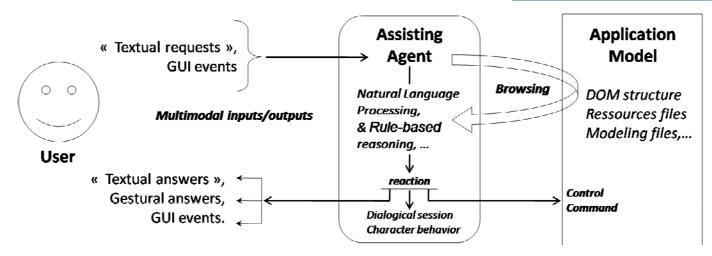
Outline reminder

- Context: assisting novice users with ECA
- Methodology
 - Experimental framework: DIVA framework overview
 - Experimental framework: DIVA NLP-chain
 - Experiment principles
 - Experimental protocol
 - Questionnaires
- Results
- Conclusion

DIVA framework overview

- Dom Integrated Virtual Agent:
 - Open programming framework
 - High level of interaction (AJAX)
- 1. Embodied Agents Elsi & Cyril:
- 2. Natural Language Processing chain:





Experimental framework: DIVA NLP-chain

1. Formalization phase

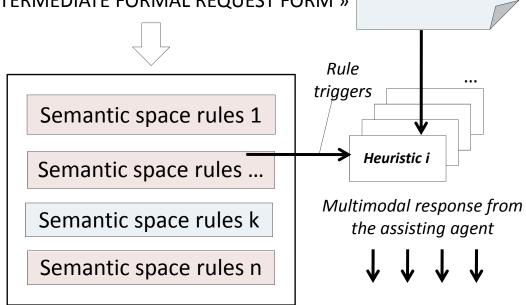
- 1. Sentences are preprocessed and words are lemmatized;
- 2. A semantic class (KEY) is associated with each word

Word sense association Word sense association TOPIC Symbolic model of the application WINTERMEDIATE FORMAL REQUEST FORM »

2. Interpretation phase

Interpretation rules are of the form: $Pattern \rightarrow Reaction$

Where reactions are expressed as procedural heuristics achieving reasoning tasks over the description of the application (the topic file).



Experimental framework: DIVA NLP-chain

« How old are you? »

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DIVA:
1) Formalization:
<QUEST HOW ISOLD TOBE THEAVATAR>
2) Interpretation:
<rule id="age" pat="QUEST THEAGE|HOW ISOLD">
 <do>
  THETOPIC.age.asked++;
  If (THETOPIC.age.asked >= 1)
   TALK prepend(['As I said','I've told you, ']);
  If (THETOPIC.gender = 'female')
   TALK.say('It's not polite to ask this.');
 </do>
<say>
 I'm _THETOPIC.age_. years old
 I'm _THETOPIC.age_ ...
                                        variability
 My age is _THETOPIC.age_
</say>
                  genericity
</rule>
```

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Classical chatbots (ALICE – AIML):
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<category>
  <pattern>HOW OLD ARE YOU</pattern>
  <template>
      <set_it>I</set_it> am 25 years old
  </template>
  </category>
```

- 1. Matches a user input containing the exact pattern
- 2. Handles a minimalistic model of the session (IT)
- 3. Sends an entirely **predefined** answer

Experiment principles (1)

- Three (linked) parameters actually tested:
 - Responsivity: the requested information is in the answer
 - Variability: twice the same question can lead to different answers
 - Dependence: variability with a memory of previous questions
- Differences: one only answer when requested its age.
- 6 female agents, visually identical
- Interaction through chatbox at the bottom of the window



Experiment principles (2)

« How old are you? »

	Responsive	Variable	Dependent	1 st reply	2 nd reply	3 rd reply
1	✓	√	✓	I'm 25	I told you I'm 25	I won't answer to that again
2	✓	✓	×	I'm 25	25 years old	I'm 25 years old
3	✓	×	-	I'm 25	l'm 25	l'm 25
4	×	✓	✓	I won't tell you	I said I won't tell you this	Stop insisting!
5	×	✓	×	I won't tell you	It's a secret	I will not tell you
6	×	×	-	I won't tell you	I won't tell you	I won't tell you

Experimental protocol

- User's objective: retrieving information about an agent
 - Free chat
 - Suggestions:
 - Examples given: name, age, job...
 - Short interaction (< 2 minutes)
- Interaction with two agents:
 - Case 1 or Case [2..6]
 - Case [2..6] or Case 1
- Three questionnaires:
 - One after each interaction (5-point Likert scales)
 - Final comparative questionnaire

Questionnaires

- 7 parameters evaluated:
 - Variability: not always answering the same way
 ⇔ noticing variability
 - Cooperation: if information requested could be obtained ⇔ noticing responsiveness

Only after interaction

- Precision: « 25 years old » / « young »
- Relevance: the agent remains in the topic of conversation
- Believability: the agent being a female is believable
- Human-likeness: same answer could come from a human being
- Global satisfaction: overall feeling about conversation

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 - Raw results
 - Comparative questionnaire results
 - Post-interaction questionnaire results
- Conclusion

Raw results

- 21 subjects, over the internet
 - Sex: 14 men / 7 women
 - Age: 20-60 (62% in 26-30)
 - Origin: Chinese/French mainly
 - Studies: university level (85%)
 - Computer science knowledge: disparate (42% below 3/5)
- 38 post-interaction questionnaires
- 19 final questionnaires

Comparative questionnaire results

- Globally: 1 vs all if a difference is made, 1 is prefered, for every parameter
- Individually: 1 vs [2-6] if a difference is made, 1 is prefered, except:
 - -4 (¬RVD) is perceived as more human-like
 - 6 ($\neg R \neg V$) is perceived as more relevant

Discussion:

- Not giving the age of a woman is not problematic: parameters interdependancy
- Variability is even more crucial in that case (4 vs 5-6): expectation of a high level behavior

Post-interaction questionnaire results

- Sample too small to obtain many statistically significant results
- Many expected results:
 - Satisfaction: RVD > \neg R \neg V
 - Cooperation: RVD > 5, RVD > \neg R \neg V
 - Precision: RVD > \neg RVD, RVD > \neg R \neg V
- Some unexpected ones:
 - Precision: RVD < R¬V
 - Believability: RVD < RV¬D
 - Human-likeness: RVD < R¬V
- Discussion:
 - Variability can make the agent look more imprecise
 - If the rest of the behavior doesn't follow, it is interpreted as mistakes

Conclusion

- Possibility to handle variability with a chatbot architecture
- Users notice variability in agents
- Agents with variability are perceived as:
 - more believable,
 - more human-like...

...but coherence is crucial!

- Can it be useful for assistance?
 - Indirectly yes:
 - chat is important (~40%) even for assisting agents only (Bouchet&Sansonnet, 2007)
 - improved user's satisfaction
 - reduced « motivational paradox » (Carroll&Rosson, 1987)
 - Directly? Upcoming experiment
- Variant: behaviours affecting every parameter
- Study of parameters influence on each other (ex: gender/age)